

# TRIBAL INTERNAL CONTROL STANDARDS (TICS)

## OSAGE NATION GAMING COMMISSION

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Posting Date: November 15, 2023

**ONGC Commissioners allow approval of the following change to the Tribal Internal Control Standards (TICS) of the Osage Nation Gaming Commission and posting the following to the Osage Nation website as TICS Revision #24-01:**

### **ONGC NOTICE OF PROPOSED TICS REVISION**

**Comment Period: 30 Days**

Osage Nation Gaming Commission Notice of TICS Revision dated October 27, 2023: #24-01

The Osage Nation Gaming Commission is proposing the following TICS revision.

Comments may be made by interested parties for 30 days. Comments need to be mailed to the Osage Nation Gaming Commission at 612 Leahy Avenue, Pawhuska, Ok. 74056 or emailed to [ehembree@osagenation-nsn.gov](mailto:ehembree@osagenation-nsn.gov), please state TICS Comment in the subject line of all emails.

### **Proposed Amendments to the Osage Nation Gaming Regulations: Section 350.15**

#### **350.15 Role of management.**

- (a) Management shall be required to respond to internal audit findings stating corrective measures to be taken to avoid recurrence of the audit exception.
- (b) Management must respond to any audit exception within ten (10) business days.

#### **350.16 System of Internal Control Standards (SICS).**

- (a) SICS are also known as Standard Operating Procedures (SOPs) and Policy and Procedures (P&Ps).
- (b) All SICS must provide the overall operational framework for the gaming operation section, specifically including the written policy, the detailed procedures, and the standard practices based on regulatory standards.
- (c) All SICS must reference the applicable MICS, TICS, Osage Nation Law, Federal Law and State Law where applicable.
- (d) All SICS must be submitted to the Osage Nation Gaming Commission, for approval, at least sixty (60) days prior to intended implementation date.
- (e) Any revisions made to a department's SICS (including forms) must be approved by Osage Casinos (OC) Regulatory Compliance Department and Osage Nation Gaming Commission prior to the implementation of such policy. If a SICS revision affects additional SICS, all must be revised simultaneously.

(f) The OC Regulatory Compliance Department must notify (via email) all Osage Casino General Managers of any approved revisions stating the date of required implementation.

(g) The Osage Casino Regulatory Compliance Department or General Managers must distribute any approved revisions to applicable departments.

(h) Prior to implementing any "test" phase(s), written authorization must be obtained from the Osage Nation Gaming Commission Director to ensure proposed procedures are compliant with all applicable gaming regulations.

(i) Each proposed "test" phase must be submitted to the Osage Nation Gaming Commission (via email) from the OC Regulatory Compliance Department and include the following:

- (1) Test site(s);
- (2) Affected department(s); and
- (3) Dates of testing (beginning/ending).